1 2 3 4 5 6	MARTIN SCHMIDT, ESQ. (SBN 171673) mschmidt@nationalinjuryhelp.com <b>SCHMIDT NATIONAL LAW GROUP</b> 4241 Jutland Dr. Suite 200 San Diego, CA 92117 Telephone: 800.214.1010 Facsimile: 619.393.1777 Attorney for Plaintiff DANIELLE PATTERSON		
7	IN THE UNITED STAT	TES DISTRICT COURT	
8	NORTHERN DISTRI	CT OF CALIFORNIA	
9	SAN FRANCISCO DIVISION		
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11	DANIELLE PATTERSON, an individual,	Case No.:	
12	Plaintiff,	COMPLAINT FOR DAMAGES AND DEMAND FOR JURY TRIAL	
13	v.		
14	BAYER HEALTHCARE LLC, a Delaware corporation; BAYER HEALTHCARE	<ul><li>(1) Manufacturing</li><li>(2) Design Defect</li></ul>	
15	PHARMACEUTICALS, INC., a Deleware	<ul><li>(3) Negligence</li><li>(4) Failure to warn</li></ul>	
16	corporation; BAYER ESSURE, INC., a Delaware corporation; and DOES 1-10, inclusive	<ul><li>(5) Strict Liability</li><li>(6) Breach of Implied Warranty</li></ul>	
17	Defendants	(7) Breach of Express Warranty	
18		<ul><li>(8) Negligent Misrepresentation</li><li>(9) Fraudulent Misrepresentation</li></ul>	
19		(10) Fraud by Concealment	
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		1 ND DEMAND FOR JURY TRIAL	

COMES NOW Plaintiff DANIELLE PATTERSON, and files this Complaint seeking 2 judgment against Defendants BAYER HEALTHCARE LLC; BAYER HEALTHCARE 3 PHARMACEUTICALS, INC.; BAYER ESSURE, INC.; and DOES 1 through 10 inclusive, 4 (hereinafter collectively referred to as "Defendants" or "Bayer") for personal injuries suffered as a 5 result of Plaintiff DANIELLE PATTERSON (hereinafter "Plaintiff") being prescribed and using the 6 defective and unreasonably dangerous product Essure<sup>®</sup>. At all times relevant hereto, Essure<sup>®</sup> was 7 manufactured, designed, formulated tested, packaged, labeled, produced, created, made, constructed, 8 assembled, marketed, advertised, distributed and sold by Defendants or by Conceptus, Inc. which 9 merged with Bayer on or about April 28, 2013.

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I.

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#### PARTIES, JURISDICTION AND VENUE

11 1. This Court has diversity subject matter jurisdiction over this action pursuant to 28 12 U.S.C. §1332(a): The district courts shall have original jurisdiction of all civil actions where the 13 matter in controversy exceeds the sum or value of \$75,000.00, exclusive of interest and costs, and is 14 between (1) citizens of different states. Damages to Plaintiff are estimated in good faith to exceed 15 the sum or value of \$75,000.00, exclusive of interest and costs. The Court also has personal 16 jurisdiction over the parties because Plaintiff submits to the jurisdiction of the Court and Defendants 17 systematically and continually conducts business here and Conceptus, Inc. ("Conceptus"), a wholly 18 owned subsidiary of Bayer A.G. and/or Bayer Healthcare LLC, is headquartered in Mountain View, 19 California. Conceptus, which is now part of Bayer, designed, developed, conducted clinical trials and manufactured Essure® at its Mountain View, California facilities. 20

2. This Court has supplemental jurisdiction over the remaining common law and state
22 claims pursuant to 28 U.S.C. §1367.

3. Venue is proper in this Court pursuant to 28 U.S.C. §1391 because a substantial part
 of the events giving rise to Plaintiff's claims occurred, in part, in the Northern District of California,
 including the design, clinical testing, marketing and manufacturing of the Essure® system.

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4. At all times relevant hereto, Plaintiff is and was a resident of Mesa, Arizona.

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5. Defendant BAYER HEALTHCARE LLC is a for-profit corporation incorporated in
 the state of Delaware. Defendant is authorized to and does business throughout the states of
 California and Arizona.

6. Defendant BAYER ESSURE INC. is a for-profit corporation incorporated in the state
of Delaware. Defendant is authorized to and does business throughout the states of California and
Arizona.

7 7. Defendant BAYER PHARMEUCITALS, INC., is a for-profit corporation incorporated in the state of Delaware. Defendant is authorized to and does business throughout the states of California and Arizona.

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II.

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# FACTS AND ALLEGATIONS COMMON TO ALL CLAIMS

8. This Complaint is brought by Plaintiff who relied on express warranties of Defendants
before being implanted with a female birth control device, known as "Essure." As a result of (1)
Defendants negligence described *infra* and (2) her reliance on Defendants' warranties, Defendants'
Essure® device was placed in both of her fallopian tubes. After the device was implanted, Plaintiff
has suffered from migraines, severe abdominal, ovarian and pelvic pain, sharp, stabbing pain, pain
during intercourse, heavy bleeding, emotional pain and mental anguish.

9. Essure® had Conditional Premarket Approval ("CPMA") by the Food and Drug
Administration ("FDA"). As discussed herein, this CPMA became "invalid" and the product
"adulterated" pursuant to the FDA due to Defendants' failure to comply with the CPMA order. As a
result, Defendants' CPMA is "invalid" and its "adulterated" product, Essure®, should never have
been marketed or sold to Plaintiff.

- 10. Plaintiff's first cause of action is based in Defendants' negligence in (1) failing to
  adequately train Plaintiff's implanting physician ("the implanting physician"); and (2) entrusting the
  implanting physician with specialized hysteroscopic equipment he was not qualified to use, and (3)
  distributing the product in an unreasonably dangerous manner, as fully discussed below.
- 11. The training, entrustment, of specialized hysteroscopic equipment to the implanting
   physician and method of distribution did not have CPMA by the FDA.
- Plaintiff's second cause of action is based entirely on the express warranties made by
   Defendants to Plaintiff, which were relied upon by Plaintiff prior to having the device implanted.
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- 1 13. Notwithstanding the fact that Plaintiff's two causes of action fall outside the purview
   2 of the MDA, Defendants' CPMA is "invalid" and Essure® is an "adulterated" product per the FDA.
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14. In short, according to the FDA, the CPMA order became invalid because Defendants failed to comply with any of the following express conditions:

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(a) "Within 10 days after Defendant receives knowledge of any adverse reaction to report the matter to the FDA."

(b) "Report to the FDA whenever it receives information from any sources that reasonably suggests that the device may have caused or contributed to a serious injury."

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15. The fact that Defendants failed to comply with these conditions is not a mere allegation made by Plaintiff. It is an **FDA finding**.

16. As discussed in detail *infra*, Defendants were **cited by the FDA** and the **Department of Health** for (1) **failing to report and actively concealing 8 perforations which occurred as a result of Essure**®; (2) erroneously using non-conforming material in the manufacturing of Essure®; (3) failing to use pre-sterile and post-sterile cages; (4) manufacturing Essure® at an unlicensed facility and (5) manufacturing Essure® for three years without a license to do so.

15 17. These violations invalidated the CPMA, rendering the product "adulterated"16 precluding Defendants from marketing or selling Essure® per the FDA, and, more importantly,
17 endangered the life of Plaintiff and the safety of the public.

18 18. Defendants actively concealed these violations and never advised Plaintiff of the
 19 same. Had Plaintiff known that <u>Defendants were concealing adverse reactions, not using</u>
 20 conforming material approved by the FDA, not using sterile cages, operating out of an
 21 unlicensed facility, and manufacturing medical devices without a license to do the same, she
 22 never would have had Essure® implanted.

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# A. <u>Description Of Essure® And How It Works</u>

19. Essure® is a permanent form of female birth control (female sterilization). In short,
the device is intended to cause bilateral occlusions (blockage) of the fallopian tubes by the insertion
of micro-inserts into the fallopian tubes which then anchor and elicit tissue growth, theoretically
causing the blockage.

20. Essure® consists of (1) micro-inserts; (2) a disposable delivery system; and (3) a
 disposable split introducer. All components are intended for a single use.

3 21. The micro-inserts are comprised of two metal coils which are placed in a woman's
4 fallopian tubes via Defendants' disposable delivery system and under hysteroscopic guidance
5 (camera).

The hysteroscopic equipment needed to place Essure® was manufactured by a third
party, is not part of Defendants' CPMA, and is not a part of Essure®. However, because Plaintiff's
implanting physician did not have such equipment, Defendants provided it to that they could sell
Essure®.

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23. The coils are comprised on nickel, steel, nitinol, and PET fibers.

Defendants' disposable delivery system consists of a single handle which contains a
 delivery wire, release catheter, and delivery catheter. The micro-inserts are attached to the delivery
 wire. The delivery handle controls the device, delivery and release. Physicians are allowed to
 visualize this complicated process through the hysteroscopic equipment provided by Defendants.

After placement of the coils in the fallopian tubes by Defendants' disposable delivery
system, the micro-inserts expand upon release and anchor into the fallopian tubes. The PET fibers in
the coil allegedly elicit tissue growth blocking off the fallopian tubes.

17 26. The coils are alleged to remain securely in place in the fallopian tubes for the life of18 the consumer and do not migrate.

19 27. After three months following the device being implanted, patients are to receive a
20 "Confirmation" test to determine that the micro-inserts are in the correct location and that the tissue
21 has created a complete occlusion. This is known as a hystersalpingogram ("HSG Test" or
22 "Confirmation test").

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28. Regardless of the Confirmation Test, Defendants also warrant that Essure® allows for visual confirmation of each insert's proper placement **during the procedure**.

25 29. Essure® was designed, manufactured, and marketed to be used by gynecologists
 26 throughout the world, as a "quick and easy" outpatient procedure and without general anesthesia.

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B. <u>Evolution Of Essure®</u>

30. Essure® was first designed and manufactured by Conceptus, Inc. ("Conceptus").

1 2 31. Conceptus and Bayer merged on or about April 28, 2013.

32. For purpose of this lawsuit, Conceptus and Bayer are one and the same.

33. Essure<sup>®</sup>, a Class III medical device, is now manufactured, sold, distributed, marketed, 3 and promoted by Defendants. 4

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34. Defendants also trained physicians on how to use its device and other hysteroscopic equipment, including Plaintiff's implanting physician.

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Prior to the sale of Conceptus to Bayer, Conceptus obtained CPMA for Essure®. 35.

36. By way of background, Premarket Approval ("PMA") is the FDA process of scientific 8 and regulatory review to evaluate the safety and effectiveness of Class III medical devices. 9 According to the FDA, Class III devices are those that support or sustain human life, are of 10 substantial importance in preventing impairment of human health, or which present a potential, 11 unreasonable risk of illness or injury.

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PMA is a stringent type of device marketing application required by FDA. The 37. 13 applicant must receive FDA approval of its PMA application prior to marketing the device. PMA 14 approval is based on a determination by the FDA.

- 15 38. An approved PMA is, in effect, a private license granting the application (or owner) 16 permission to market the device.
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39. FDA regulations provide 180 days to review the PMA and make a determination. In reality, the review time is normally longer. Before approving or denying a PMA the appropriate 18 FDA advisory committee may review the PMA at a public meeting and provide FDA with the 19 committee's recommendation on whether FDA should approve the submission. 20

- 40. According to the FDA, a class III device that fails to meet the CPMA requirements is 21 considered to be adulterated under section 501(f) of the Federal Food, Drug and Cosmetic Act 22 ("FD&C Act") and cannot be marketed. 23
- Regarding the Premarket Approval Process, devices can either be "approved," 41. 24 "conditionally approved," or "not approved." 25

42. Essure® was "conditionally approved" or in other words, had only CPMA not 26 outright PMA, the "gold standard." 27

1	43.	In the	CPMA Order issued by the FDA, the FDA expressly stated, "Failure to comply
2	with the con	ditions	of approval <b>invalidated this approval order</b> ." The following were the
3	conditions of	approv	al:
4		(a)	"Effectiveness of Essure is established by annually reporting on the 745
5	women who t	ook par	rt in clinical tests."
6		(b)	"Successful bilateral placement of Essure is documented for newly trained
7	physicians."		
, 8		(c)	"Within 10 days after [Defendant] received knowledge of any adverse reaction
9	to report the r	natter to	o the FDA."
9 10		(d)	"Report to the FDA whenever it received information from any source that
	reasonably su	ggested	I that the device may have caused or contributed to a serious injury,"
11		(e)	Warranties are truthful, accurate and not misleading.
12		(f)	Warranties are consistent with applicable Federal and State law.
13	44.	Altho	ugh failure to comply with just one of the conditions invalidated the CPMA
14	Order, Defend	dants fa	iled to comply with several conditions; thereby invalidating the CPMA pursuant
15	to the very language of the CPMA order. Specifically:		
16		(a)	Defendants failed to timely provide the FDA with reports after 12 months, 18
17	months and th	nen a fir	nal report. All reports failed to meet the respective deadlines.
18		(b)	Defendants failed to document successful placement of Essure® concealing
19	the failure rate	es.	
20		(c)	Defendants failed to notice the FDA of several adverse reactions and actively
21	concealed the	e same.	Most egregiously, Defendants failed to report eight (8) perforations which
22	occurred as a	result o	of Essure® and was cited for the same by the FDA via Form 483 <sup>1</sup> .
23		(d)	Defendants failed to report to the FDA information it received that reasonably
24	suggested tha	t the de	evice may have caused or contributed to a serious injury thereby concealing the
25	injuries. Aga	ain, Def	fendants failed to report eight (8) perforations which occurred as a result of
26	Essure® to th	ne FDA	as evidenced in Form 483.
20 27			
27	<sup>1</sup> Form 483 is iss conditions that v	sued to fi	rm management at the conclusion of inspection when an FDA investigator has observed any e FD&C Act rendering the device "adulterated."

- 1 (e) As outlined in "Facts and Warranties" *infra*, Defendants' warranties were not 2 truthful, accurate, and not misleading.
- 3 (f) Defendants' warranties were not consistent with applicable Federal and State
  4 law.

45. By failing to comply with several CPMA conditions, Essure® is also considered to be
 an "adulterated" device under section 501(f) of the FD&C Act <u>and cannot be marketed per the</u>
 FDA. However, Defendants continued to market the product to Plaintiff.

46. The CPMA also required Defendants to comply with Sections 502(q) and (r) of the FD&C Act which **prohibits Defendants from offering Essure**® "for sale in any State, if its advertising is false or misleading."

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47. Defendants violated Sections 502(q) and (r) by falsely and misleadingly advertising the product as described below under "Facts and Warranties." However, Defendants continued to sell its product against the CPMA with misleading and false advertising.

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48. Lastly, per the FDA, "a PMA may be sold to another company" however "the sponsor **must submit a PMA amendment** to notify the FDA of the new owner… The… supplement should include: the effective date of the ownership transfer; a statement of the new owner's commitment to comply with all the conditions of approval applicable to the PMA; and either a statement that the new owner has a complete copy of the PMA including all amendment, supplements, and reports or a request for a copy from the FDA files."

19 49. There were 36 PMA supplements filed with the FDA in regard to Essure® (P020014).
20 None of the PMA supplements included notification of the new owner (Bayer).

50. In short, notwithstanding the fact that Plaintiff's claims fall outside the purview of the
MDA, (1) the CPMA is invalid per the FDA; (2) Essure® is considered an "adulterated" product
that cannot be marketed or sold per the FDA; and (3) the invalid CPMA was not properly transferred
to Bayer and, therefore, Defendants does not have any form of PMA for Essure®.

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C.

# **Defendant's Training, Entrustment And Distribution Plan**

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dangerous distribution plan, all of which were aimed at capitalizing on and monopolizing the birth
 control market at the expense of Plaintiff's safety and well-being.

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52. Because Essure® was the first device of its kind, the implanting physician was **trained by Defendants** on how to properly insert the micro-inserts using the disposable delivery system and was given hysteroscopic equipment by Defendants.

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53. In order to capture the market, Defendants independently undertook a duty of training physicians, including the implanting physician, on how to properly use (1) its own mechanism of delivery and (2) the specialized hysteroscopic equipment manufactured by a third party.

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54. Regarding Essure®, Defendants' Senior Director of Global Professional Education stated "**training is the key factor** when clinicians choose a new procedure" and "For the Essure® procedure, the patient is **not under anesthesia**, therefore a **skilled approach is crucial.**"

<sup>11</sup> 55. In fact, because gynecologists and Plaintiff's implanting physician were unfamiliar
 <sup>12</sup> with the device and how to deliver it, Defendants (1) created a "Physician Training Manual"; (2)
 <sup>13</sup> created a simulator called EssureSim; (3) organized limited training courses where Defendants
 <sup>14</sup> observed physicians until Defendants believed they were competent; (4) created Essure® Procedure
 <sup>15</sup> Equipment Supplies Checklists; and (5) represented to Plaintiff that "Physicians must be signed-off
 <sup>16</sup> to perform Essure procedures."

17 56. Defendants provided no training to the implanting physician on how to *remove*18 Essure® should it migrate or cause serious medical conditions necessitating its removal.

19 57. Defendants also kept training records on all physicians "signed-off to perform Essure
20 procedures."

58. In order to sell its product and because the implanting physician did not have access to
 the expensive hysteroscopic equipment, Defendants provided the implanting physician with
 hysteroscopic equipment which, although is not a part of Essure®, is needed to implant Essure®.
 The entrustment of this equipment is not part of any CPMA.

- 59. Defendants entered into agreements with Johnson & Johnson Co., Olympus America,
  Inc., Richard Wolf Medical Instruments Corp., and Karl Storz Endoscopy, America, Inc., (1) to
  obtain specialized hysteroscopic equipment to then give to physicians and (2) to increase its sales
  force to promote Essure®.
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- 1 60. According to Defendants, these agreements allowed Defendants to "gain market 2 presence [...] and expend [...] market opportunity by driving adoption among a group of physicians."
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61. In regard to the entrustment of such specialized equipment, Defendants admitted: "We cannot be certain how successful these programs will be, if at all."

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62. Defendants "handed out" this equipment to unqualified physicians, including Plaintiff's implanting physician, in an effort to sell its product.

63. Defendants knew or failed to recognize that the implanting physician was not qualified to use such specialized equipment yet provided the equipment to the unqualified implanting physician in order to capture the market.

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In return for providing the hysteroscopic equipment, Defendants required that the
 implanting physician purchase two Essure® "kits" per month. This was part of Defendants'
 unreasonably dangerous and negligent distribution plan aimed solely at capturing the market with
 reckless disregard for the safety of the public and Plaintiff.

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<sup>15</sup> 65. Defendants' distribution plan included requiring the implanting physician to purchase
 <sup>14</sup> two (2) Essure® "kits" per month, regardless of whether he or she used them or not. This
 <sup>15</sup> distribution plan created an environment which induced the implanting physician to "push" Essure®
 <sup>16</sup> and implant the same into Plaintiff.

17 66. In short, Defendants used the expensive hysteroscopic equipment to induce the
18 implanting physician into an agreement as "bait." Once the implanting physician "took the bait," he
19 was required to purchase 2 Essure® "kits" per month, regardless of whether he sold any Essure®
20 "kits."

67. This was an unreasonably dangerous distribution scheme as it compelled the
 implanting physician to sell two (2) devices per month at the expense of Plaintiff's safety and well being.

68. Defendants' distribution plan also included (1) negligently distributing Essure®
against FDA order and sections 501(f), 502(q) and (r) of the FD&C Act by marketing and selling an
adulterated product; (2) the promotion of Essure® through representatives of the hysteroscopic
equipment manufacturers, who were not adequately trained nor had sufficient knowledge regarding
Essure®; (3) failing to report and actively concealing eight perforations which occurred as a result of

Essure®; (4) erroneously using non-conforming material in the manufacturing of Essure®; (5) failing
 to use pre-sterile and post-sterile cages; (6) manufacturing Essure® at an unlicensed facility and (7)
 manufacturing Essure® for three years without a license to do so.

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69. In short, Defendants (1) failed to adequately train the physicians on how to use its delivery system and the hysteroscopic equipment manufactured by a third party; (2) provided specialized hysteroscopic equipment to implanting physicians who were not qualified to use the same; and (3) created an unreasonably dangerous distribution plan, all of which were aimed at capitalizing and monopolizing on the birth control market.

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70. Unfortunately, this was done at the expense of Plaintiff's safety.

# D. <u>Plaintiff's History</u>

10 71. Prior to the operation, Plaintiff went to the implanting physician to discuss options for
 11 permanent sterilization. The implanting physician recommended that Plaintiff have Essure®
 12 implanted in her fallopian tubes instead of a standard tubal ligation procedure.

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72. In or around May 7, 2009, Plaintiff returned to the implanting physician for the
 Essure® procedure. The implanting physician implanted the Essure® coils into both her left and
 right fallopian tubes.

16 73. After procedure to implant the device, Plaintiff started experiencing severe constant
17 daily pain, and severe bleeding. Since the device was implanted, Plaintiff has also suffered from
18 heavy bleeding, menorrhagia, constant pain, and mental and emotional anguish.

19 74. Plaintiff had a uterine ablation on or about September 4, 2013 to control the heavy20 bleeding.

21 75. Plaintiff did not become aware that Essure® was the cause of her above-described
 22 physical, emotional, and medical problems until 2015 when she learned, through internet research, of
 23 other women having similar issues.

76. Plaintiff did not have knowledge of facts that would lead a reasonable, prudent person
to make inquiry to discover Defendants' tortuous conduct. Under appropriate application of the
discovery rule, Plaintiff's suit was filed well within the applicable statutory limitations period.

27 77. In fact, plaintiff was advised by her physicians that Essure® was not the cause of any
 27 of her above-described symptoms.

78. Additionally, Defendants' fraudulent concealment of the relevant facts as described
 *infra* toll any relevant statutes of limitations. Most egregiously, Defendant was not only actively and
 fraudulently concealing adverse reports of migrations and perforations from Plaintiff, but also from
 the FDA. This active concealment is not mere allegation, but evidenced by FDA findings and its
 citations to Defendant for failing to report eight (8) perforations.

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### E. Facts And Warranties

79. First, Defendants negligently trained physicians, including the implanting physician, on how to use its device and in hysteroscopic procedures.

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80. The skills needed to place the micro-inserts as recognized by the FDA panel "are way beyond the usual gynecologist."

81. Accordingly, Defendants went out and attempted to train the implanting physician on (1) how to use its device and (2) in hysteroscopy. Defendants (1) created a "Physician Training Manual"; (2) created a simulator called EssureSim; (3) organized limited training courses-where Defendants observed physicians until Defendants believed they were competent; (4) created Essure® Procedure Equipment Supplies Checklists; and (5) represented to Plaintiff that "Physicians must be signed-off to perform Essure procedure." Defendants had no experience in training others in hysteroscopy.

17 82. Defendants failed to adequately train Plaintiff's implanting physicians and provided
18 hysteroscopic equipment to the implanting physician who was not qualified to use such complicated
19 equipment.

20 83. A key study found that a learning curve for this hysteroscopic procedure was seen for
 21 procedure time, but not for successful placement, pain, and complication rates, evidencing that
 22 Defendants' training methods were failing<sup>2</sup>.

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84. Second, Defendants provided hysteroscopic equipment to the implanting physician who was not competent to use such device. Defendants knew the implanting physician was not competent to use such sophisticated equipment, yet provided the equipment anyway in order to sell its product.

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<sup>2.8 &</sup>lt;sup>2</sup> Learning Curve of Hysteroscopic Placement of Tubal Sterilization Micro-Inserts, US National Library of Medicine, Janse, JA.

85. Third, Defendants' distribution plan of requiring the implanting physician to purchase
 two (2) Essure® kits a month, was an unreasonably dangerous plan as it compelled the implanting
 physician to insist that Essure® be used in Plaintiff.

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Defendants' distribution plan also included (1) negligently distributing Essure® 86. 4 against FDA order and sections 501(f), 502(q) and (r) of the FD&C Act by marketing and selling an 5 adulterated product; (2) the promotion of Essure® through representatives of the hysteroscopic 6 equipment manufacturers, who were not adequately trained nor had sufficient knowledge regarding 7 Essure®; (3) failing to report and actively concealing (8) perforations which occurred as a result of 8 Essure®; (4) erroneously using non-conforming material in the manufacturing of Essure®; (5) failing 9 to use pre-sterile and post sterile cages; (6) manufacturing Essure<sup>®</sup> at an unlicensed facility and (7) 10 manufacturing Essure® for three years without a license to do so.

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87. Lastly, Plaintiff relied on the following warranties by Defendants and/or its agents, outlined in the subsequent Paragraphs:

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## a. <u>Website Warranties</u>

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88. Defendants marketed on its website the following:

(a) "Only FDA approved female sterilization procedure to have zero pregnancies *in the clinical trials.*" However, there were actually **four pregnancies** during the clinical trials and
five pregnancies during the first year of commercial experience. Defendants concealed this
information from Plaintiff.

(b) *"There were Zero pregnancies in the clinical trials."* However, there were
actually **four pregnancies** during the clinical trials and five pregnancies during the first year of
commercial experience. Defendants concealed this information from Plaintiff.

(c) "Physicians must be signed-off to perform Essure procedure." However,
 Defendants failed to adequately train the implanting physician and "signed-off" on the implanting
 physician who did not have the requisite training. Defendants concealed this information from
 Plaintiff.

(d) "Surgery-free." However, Essure® is not "surgery-free," rather laparoscopic
 surgery is not required. All Essure® procedures are done under hysteroscopy, which is a surgical
 procedure.

1 (e) "Worry free: Once your doctor confirms that your tubes are blocked, you never have to worry about unplanned pregnancy." However, several pregnancies have been reported 2 subsequent to confirmation. Defendants concealed this information from Plaintiff. However, from 3 1997 to 2005, 64 pregnancies were reported to Defendants. Defendants concealed this information 4 from Plaintiff. However, Adverse Event Report ESS 205 dated 10/3/2006 evidences a pregnancy 5 after the three month Confirmation Test was confirmed. Defendants concealed this information from 6 Plaintiff. However, there have been over 30 pregnancies after "doctors confirmed the tubes were 7 blocked." However, women who have Essure® have 10 times greater risk of pregnancy after one 8 year than those who use laparoscopic sterilization. At ten years, the risk of pregnancy is almost four 9 (4) times greater<sup>3</sup>.

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(f) "Essure is the most effective permanent birth control available-even more
 effective than tying your tubes or a vasectomy." Yet, Defendants' SEC filings, Form 10-K show
 that Defendants never did a comparison to a vasectomy or tubal ligation. Defendants stated, "We
 did not conduct a clinical trial to compare the Essure procedure to laparoscopic tubal ligation."
 Defendants concealed this information from Plaintiff. In fact, women who have Essure® have 10
 times greater risk of pregnancy after one year than those who use laparoscopic sterilization. At ten
 years, the risk of pregnancy is almost 4 times greater<sup>4</sup>.

(g) "Correct placement...is performed easily because of the design of the micro-*insert.*" However, Defendants admitted that placement of the device requires a "skilled approach"
and even admitted that their own experts in hysteroscopy (as compared to general gynecologists not
on the same level as an expert hysteroscopist) failed to place the micro-inserts in 1 out of 7 clinical
participants. Defendants concealed this information from Plaintiff.

(h) "an Essure trained doctor inserts spring-like coils, called micro-inserts..."
 However, the implanting physician who implanted the device was not adequately trained.
 Defendants concealed this information from Plaintiff.

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<sup>27 &</sup>lt;sup>3</sup> Probability of Pregnancy After Sterilization: A Comparison Of Hysteroscopic Versus Laparoscopic Sterilization, Gariepy, Aileen. Medical Publication "Contraception." Elsevier 2014.

 <sup>&</sup>lt;sup>4</sup> Probability of Pregnancy After Sterilization: A Comparison Of Hysteroscopic Versus Laparoscopic Sterilization, Gariepy, Aileen.
 28 Medical Publication "Contraception." Elsevier 2014.

(i) "the Essure training program is a comprehensive course designed to provide 1 information and skills necessary to select appropriate patients, perform competent procedures and 2 manage technical issues related to the placement of Essure micro-inserts for permanent birth 3 control." However, Defendants failed to adequately train the implanting physician. Defendants 4 concealed this information from Plaintiff. 5 (j) "In order to be trained in Essure you must be a skilled operative hysteroscopist. 6 You will find the procedure easier to learn if you are already proficient in operative hysteroscopy 7 and management of the awake patient. If your skills are minimal or out of date, you should attend a 8 hysteroscopy course before learning Essure." However, Defendants "signed off" on the implanting 9 physician who was not a skilled operative hysteroscopist, in order to monopolize and capture the 10 market, including the implanting physician. Defendants concealed this information from Plaintiff. 11 "Essure is a surgery-free permanent birth control." However, Essure® is not (k) 12 permanent as the coils migrate, perforate organs and are expelled by the body. 13 b. **Advertisement Warranties** 14 89. Defendants advertised: 15 "Zero pregnancies" in its clinical and pivotal trials. However, there were at (a) 16 least four pregnancies. Defendants concealed this information from Plaintiff. 17 In order to be identified as a qualified Essure® physician, a minimum of one (b) Essure® procedure must be performed every 6-8 weeks. However, Defendants "signed off" on 18 "Essure physicians" who did not perform the procedure every 6-8 weeks, including the implanting 19 physician. Defendants concealed this information from Plaintiff. 20 **Fact Sheet Warranties** c. 21 90. Defendants represented in its Fact Sheet: 22 Data from two clinical studies show that 99 percent of the women who had the (a) 23 Essure® procedure rated their long-term comfort with the micro-inserts as 'good,' 'very good' or 24 'excellent'." However, the actual choices given to the clinical participants were 'poor,' 'very good,' 25 or 'excellent'. Defendants concealed this information from Plaintiff.

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d. <u>Warranties By Agents</u>

91. Defendants' Senior Director of Global Professional Education represented to the
 public that "For the Essure® procedure, the patient is not under anesthesia, therefore a skilled
 approach is crucial." Yet, Defendants also claims that "Correct placement...is performed easily
 because of the design of the micro-insert"

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92. Defendants' CEO stated: "Essure® allows you to push away the constant worry about an unplanned pregnancy that's our message and that's our theme." However, there were actually **four pregnancies** during the clinical trials and five pregnancies during the first year of commercial experience. Defendants concealed this information from Plaintiff. However, between 1997—2005, 64 pregnancies were reported to Defendants. Defendants concealed this information from Plaintiff. However, there have been over 30 pregnancies after "doctors confirmed the tubes were blocked."

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#### e. <u>Marketing Warranties</u>

Defendants marketed with commercial stating:

(a) Essure® has been in use for over 5 years. However, Essure® was only in use
 for 4 years at the time of the warranties. Defendants concealed this information from Plaintiff.

(b) "The non-surgical" permanent birth control for woman." However, the
procedure is most commonly done with surgery. Defendants concealed this information from
Plaintiff. However, Essure® is not permanent as the coils migrate, perforate organs and are expelled
by the body. However, all Essure® procedures are done under hysteroscopy, which is a surgical
procedure.

94. Defendants created a fake blog entitled "Diary of a Decision" in order to induce
Plaintiff to use Essure®. Defendants created a fictitious person, names "Judy" who pretended to
have had the procedure and answered questions from Plaintiff. However, "Judy" never had the
procedure as represented and was actually Debbie Donovan. Defendants concealed this information
from Plaintiff.

95. Defendants warranted that Essure® "allows for visual confirmation of each insert's
proper placement both during the procedure and during the Essure Confirmation Test." However,
Essure® does not allow for visual confirmation of proper placement during the procedure evidenced
by the fact that three micro-inserts were placed into Plaintiff.

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#### f. **Brochure Warranties**

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Defendants' Essure® brochure warrants:

"Worry free." However, Defendants actively concealed and failed to report (a) 3 8 perforations which occurred as a result of Essure® to the FDA evidence in a Form 483 issued 4 by the FDA to Defendants. Defendants actively concealed this from Plaintiff. See Most egregiously, 5 Defendants were issued another Form 483 when it "erroneously used non-conforming material." 6 Defendants actively concealed this and were issued an additional Form 483 for "failing to adequately 7 document the situation." Defendants actively concealed this from Plaintiff. However, Defendants' 8 facility was also issued a notice of violation as it "no longer uses pre-sterile and post-sterile 9 cages." Defendants actively concealed this from Plaintiff. However, Defendants were also issued a 10 notice of violation when "it failed to obtain a valid license...prior to manufacturing medical 11 devices." Defendants were manufacturing devices for three years without a license. Defendants 12 actively concealed this from Plaintiff. However, Defendants were also issued a notice of violation as 13 it was manufacturing medical devices from 2005 at an unlicensed facility. Defendants actively 14 concealed this from Plaintiff.

14

(b) "The Essure inserts stay secure, forming a long protective barrier against
pregnancy. They also remain visible outside your tubes, so your doctor can confirm that they're
properly in place." However, the micro-inserts do not remain secure but migrate and expelled by the
body. Defendants actively concealed this information from Plaintiff. However, Defendants actively
concealed and <u>failed to report 8 perforations</u> which occurred as a result of Essure® to the FDA
as evidenced in Form 483 issued to Defendants by the FDA.

"The Essure® inserts are made from the same trusted, silicone free material (c) 21 used in heart stents." However, the micro-inserts are not made from the same material as heart stents. 22 Specifically, the micro-inserts are made of PET fibers which trigger inflammation and scar tissue 23 growth. Heart stents do not elicit tissue growth. Defendants actively concealed this from Plaintiff. 24 PET fibers are not designed or manufactured for use in human implantation. Moreover, Defendants 25 also warranted: "the long-term nature of the tissue response to the Essure micro-insert is not known." 26 However, the PET fibers are made of the same materials as the PVT material in vaginal meshes 27 which have a high rate of expulsion. Most egregiously, Defendants were issued another Form 483 28

when they "erroneously used non-conforming material." Defendants actively concealed this and
 were issued another Form 483 for "failing to adequately document the situation."

3 (d) "Surgery-free." However, all Essure® procedures are done under
 4 hysteroscopy, which is a surgical procedure.

(e) "Anesthesia-free." However, Essure® is not "anesthesia-free", rather
 anesthesia is not required.

(f) Step Two: "pregnancy cannot occur"; Step Three: The Confirmation. 7 However, Defendants also state that it is only after "The Confirmation" that pregnancy cannot occur, 8 *i.e.* the complete opposite of what is warranted in the brochure. However, Adverse Event Report 9 ESS 205 dated 10/3/2006 evidences a pregnancy after the three month confirmation test was 10 However, between 1997–2005, 64 pregnancies were reported to Defendants. confirmed. 11 Defendants concealed this information from Plaintiff. However, there have been over 30 pregnancies 12 after "doctors confirmed the tubes were blocked." However, there have been incidents where the 13 micro-inserts were expelled from the body even after the Confirmation Test<sup>6</sup>.

(g) "Essure® eliminates the risks, discomfort, and recovery time associated with
 surgical procedures." However, Essure® is not "surgery-free". Rather laparoscopic surgery is not
 required.

17 97. *The PET fibers are what cause the tissue growth*. However, during the PMA meeting
18 with the FDA, Defendants represented that the trauma caused by the expanding coil striking the
19 fallopian tubes is what caused the inflammatory response of the tissue. Defendants concealed this
20 information from Plaintiff.

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## g. <u>Essure® Booklet Warranties</u>

98. Defendants' Essure® booklet warrants:

(a) "This viewable portion of the micro-insert serves to verify placement and does
 not irritate the lining of the uterus." However, the device does irritate the uterus. Defendants
 concealed this information from Plaintiff. However, Defendants actively concealed and <u>failed to</u>
 report 8 perforations which occurred as a result of Essure® to the FDA as evidence in Form
 483.

1	(b) "There was no cutting, no pain, no scars" However, Plaintiff has	
2	experienced pain as a result of Essure®. Defendants concealed this information from Plaintiff.	
3	h. <u>Data Warranties</u>	
4	99. Summary of Safety and Effectiveness Data states:	
5	(a) "The Essure® System provides permanent birth control without invasive	
6	surgery or general anesthesia, and their associated risks." However, Essure® is not "surgery-free"	
7	or "anesthesia-free," rather laparoscopic surgery and anesthesia are not required.	
8	(b) "In addition to the above benefits, none of the women in the Essure clinical	
9	trials became pregnant." However, there were at least four pregnancies during the clinical trials.	
10	Defendants concealed this information from Plaintiff.	
11	(c) "Namely, the Essure® system is delivered hysteroscopically without general	
11	anesthesia." However, Essure® is not "surgery-free" or "anesthesia-free," rather laparoscopic	
	surgery and anesthesia are not required.	
13	i. <u>PMA Supplement</u>	
14	100. Defendants represented to Plaintiff that it was the expanding coil and tissue growth	
15	which caused the coil to be attached to the tube, not any type of coating. Yet, in Supplement 18,	
16	Defendants represented that "A doctor placed the coil at the uterine-fallopian tube junction, where its	
17	coating caused it be attached to the tube." The coating is a hydrophilic polymer coating produced	
18	by AST Products, Inc. Defendants actively concealed this from Plaintiff.	
19	j. <u>SEC Filings</u>	
20	101. Defendants warranted that the Essure® system has "no risks" for patients because	
21	the Essure® system does not involve the use of radiofrequency energy. At the same time,	
22	Defendants also states that there are limited risks with Essure®.	
23	102. "Our Mountain View, California facility underwent an International Organization for	
24	Standardization ("ISO") inspection in September 2011 which resulted in continuing approval and	
25	ISO certification through May 2013. In December 2010/January 2011, we underwent an FDA audit;	
26	all findings from the audit were satisfactorily addressed." However, Defendants actively concealed	
27	the following:	
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(a) However, Defendants' site has been inspected 7 times since 06/25-1 07/09/2002. The most recent FDA audit occurred on 05/30—6/26/2013. The FDA has issued 4 Form 2 483 inspectional observations. 3 However, Defendants actively concealed and failed to report 8 perforations (b) 4 which occurred as a result of Essure® to the FDA as evidenced in Form 483.

Most egregiously, Defendants were issued another Form 483 when they (c) 6 "erroneously used non-conforming material." Defendants actively concealed this and were issued 7 another Form 483 for "failing to adequately document the situation." 8

However, Defendants' facility was also issued a violation as it "no longer (d) 9 uses pre-sterile and post-sterile cages." 10

(e) However, Defendants also was issued a violation when it "failed to obtain a 11 valid license...prior to manufacturing medical devices." Defendants were manufacturing devices 12 for three years without a license.

13 103. The subsequent negligence claims are not products liability causes of action. The 14 claims have nothing to do with the Essure® product or its invalid CPMA, but rather (1) the 15 failure of Defendants to adequately train and instruct the implanting physician and/or (2) the fact that 16 Defendants provided the implanting physician, who was not a hysteroscopist, with hysteroscopic 17 equipment in order to sell their product and/or (3) Defendants' unreasonably dangerous distribution of Essure®. 18

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### FIRST CAUSE OF ACTION

## **MANUFACTURING DEFECT**

104. Plaintiff incorporates by reference all other paragraphs of this complaint as if fully set 21 forth herein and alleges as follows: 22

At all relevant times, Defendants were engaged in the business of selling Essure® in 105. 23 the states of California and Arizona. 24

106. The Essure® manufactured, designed, formulated, tested, packaged, labeled, 25 produced, created, made, constructed, assembled, marketed, advertised, distributed, and sold by 26 Defendants was expected to, and did, reach Plaintiff without substantial change in the condition in 27 which it was sold.

1 107. Defendants have introduced a product into the stream of commerce which is
 2 dangerous and unsafe in that the harm of Essure® outweighs any benefit derived there from. The
 3 unreasonably dangerous nature of Essure® caused serious harm to Plaintiff.

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108. Defendants manufactured, marketed, promoted and sold a product that was not merchantable and/or reasonably suited to the use intended, and its condition when sold was the proximate cause of the injured sustained by the Plaintiff and Defendants placed Essure® into the stream of commerce with wanton and reckless disregard for the public safety.

109. As a direct and proximate result of Plaintiff's use of Essure®, she was forced to undergo a surgical procedure to control the heavy bleeding caused by the Essure® coils.

110. Defendants knew and, in fact, advertised and promoted the use of Essure® despite their failure to test or otherwise determine the safety and efficacy of such use. As a direct and proximate result of the Defendants' advertising and widespread promotional activity, physicians began commonly promoting this product as a safe and effective contraceptive.

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111. Despite the fact that evidence existed that the use of Essure® was dangerous and
 111. Despite the fact that evidence existed that the use of Essure® was dangerous and
 114 likely to place users at serious risk to their health, Defendants failed to disclose and warn of the
 115 health hazards and risks associated with Essure® and in fact acted to deceive the medical community
 116 and public at large, including all potential users of Essure®, by promoting it as safe and effective.

17 112. Defendants knew or should known that physicians and other healthcare providers
18 began commonly prescribing this product as a safe and effective contraceptive despite its lack of
19 efficacy and potential for serious permanent side effects.

20 113. There are contraceptives and surgical procedures on the market with safer alternative
21 designs in that they provide equal or greater efficacy and far less risk.

114. As a direct and proximate result of one or more of these wrongful acts or omissions of
 the Defendants, Plaintiff suffered profound injuries, required medical treatment, and incurred and
 continues to incur medical and hospital expenses.

WHEREFORE, Plaintiff demands judgment against Defendants for compensatory, statutory, and punitive damages, together with interest, costs of suit, attorneys' fees and all such other relief as the court deems appropriate pursuant to the common law and statutory law.

## SECOND CAUSE OF ACTION

### 21

COMPLAINT FOR DAMAGES AND DEMAND FOR JURY TRIAL

1	DESIGN DEFECT	
2	115. Plaintiff incorporates by reference all other paragraphs of this complaint as if fully set	
3	forth herein and further alleges as follows:	
4	116. Defendants were and are engaged in the business of selling Essure® in the States of	
5	California and Arizona.	
6	117. The Essure® manufactured, designed, formulated, tested, packaged, labeled,	
7	produced, created, made, constructed, assembled, marketed, advertised, distributed and sold by	
8	Defendants was expected to, and did, reach Plaintiff without substantial change in the condition in	
9	which it was sold.	
10	118. The foreseeable risks associated with the design or formulation of the Essure® is more	
10	dangerous than a reasonably prudent consumer would expect when used in an intended or reasonably	
	foreseeable manner.	
12	119. Defendants manufactured, designed, formulated, tested, packaged, labeled, produced,	
13	created, made, constructed, assembled, marketed, advertised, distributed and sold a product that was	
14	not merchantable and/or reasonably suited to the use intended, and its condition when sold was the	
15	proximate cause of the injuries sustained by the Plaintiff.	
16	120. As a direct and proximate cause of Plaintiff's use of Essure®, she was forced to	
17	undergo medical procedures to manage her symptoms, developed severe pain, suffers from migraines	
18	and has undergone numerous procedures.	
19	121. Defendants placed Essure <sup>®</sup> into the stream of commerce with wanton and reckless	
20	disregard for the public safety.	
21	122. Defendants knew or should have known that physicians and other healthcare providers	
22	began commonly prescribing this product as a safe and effective contraceptive despite its lack of	
23	efficacy and potential for serious permanent side effects.	
24	123. There are contraceptives on the market with safer alternative designs in that they	
25	provide equal or greater efficacy and far less risk.	
26	124. As a direct and proximate result of one or more of these wrongful acts or omissions of	
27	Defendants, Plaintiff suffered profound injuries, required medical treatment, and incurred and	
28	continues to incur medical and hospital expenses.	
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#### COMPLAINT FOR DAMAGES AND DEMAND FOR JURY TRIAL

1	WHEREFORE, Plaintiff demands judgment against Defendants for compensatory, statutory	
2	and punitive damages, together with interest, cost of suit, attorneys' fees and all such other relief a	
3	the Court deems appropriate pursuant to the common law and statutory law.	
4	THIRD CAUSE OF ACTION	
5	NEGLIGENCE	
6	125. Plaintiff incorporates by reference all other paragraphs of this complaint as if fully set	
7	forth herein and further alleges as follows:	
8	126. Upon information and belief, Defendants failed to use reasonable care in designing	
9	Essure <sup>®</sup> in that they:	
10	a. failed to properly and thoroughly test Essure® before releasing the system to	
	market;	
11	b. failed to properly and thoroughly analyze the data resulting from the	
12	premarketing tests of Essure®;	
13	c. failed to conduct sufficient post-market testing and surveillance of Essure®;	
14	d. designed, manufactured, marketed, advertised, distributed, and sold Essure® to	
15	consumers, including Plaintiff, without an adequate warning of the significant and dangerous risks of	
16	Essure® and without proper instructions to avoid the harm which could foreseeably occur as a result	
17	of using the system;	
18	e. failed to exercise due care when advertising and promoting Essure®; and,	
19	f. negligently continued to manufacture, market, advertise and distribute	
20	Essure® after Defendants knew or should have known of its adverse effects.	
21	127. A reasonable manufacturer would or should have known that the risks created by	
22	Essure® are unreasonably greater than that of other contraceptives and that Essure® has no clinical	
23	benefit over such other contraceptives that compensates in whole or part for the increased risk.	
24	128. As a direct and proximate result of one or more of these wrongful acts or omissions of	
25	Defendants, Plaintiff suffered profound injuries, required medical treatment, and incurred and	
26	continues to incur medical and hospital expenses.	
27	FOURTH CAUSE OF ACTION	
27	FAILURE TO WARN	
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	COMPLAINT FOR DAMAGES AND DEMAND FOR JURY TRIAL	

1 129. Plaintiff incorporates by reference all other paragraphs of this complaint as if fully set
 2 forth herein and further alleges as follows:

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130. Essure® is a defective and therefore an unreasonably dangerous product, because its labeling fails to adequately warn consumers and prescribers of, among other things, the risk of migration of the product post-insertion, uterine perforation post-insertion, or the possibility that device complications such as migration and perforation may cause abscesses, infections, require surgery for removal and/or may necessitate a hysterectomy, oophorectomy, salpingectomy, uterine ablation, and cause other complications.

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 131. Defendants manufactured, designed, formulated, tested, packaged, labeled, produced,
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 131. Defendants manufactured, designed, formulated, tested, packaged, labeled, produced,
 131. Defendants manufactured, designed, formulated, tested, packaged, labeled, produced,
 131. Defendants manufactured, designed, formulated, tested, packaged, labeled, produced,
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 131. Defendants manufactured, designed, formulated, tested, packaged, labeled, produced, advertised or the stream of commerce Essure®.

13 132. Essure® was under the exclusive control of Defendants and was unaccompanied by
 appropriate warnings regarding all of the risks associated with its use. The warnings given did not
 accurately reflect the risk, incidence, symptoms, scope or severity of such injuries to the consumer or
 physicians. The promotional activities of Defendants further diluted or minimized the warnings given
 with the product.

18 133. Defendants downplayed the serious and dangerous side effects of Essure® to
19 encourage sales of the product; consequently, Defendants placed its profits above its customers'
20 safety.

134. Essure® was defective and unreasonably dangerous when it left the possession of
Defendants in that it contained warnings insufficient to alert Plaintiff to the dangerous risks and
reactions associated with it. Even though Defendants knew or should have known of the risks
associated with Essure®, they still failed to provide warnings that accurately reflected the signs,
symptoms, incident, scope, or severity of the risks associated with the product.

Plaintiff used Essure® as intended and as indicated by the package labeling or in a
 reasonably foreseeable manner.

1 136. Plaintiff could not have discovered any defect in Essure® through the exercise of reasonable care. 2

137. Defendants, as manufacturers of pharmaceutical drugs and products, are held to the 3 level of knowledge of an expert in the field and, further, Defendants had knowledge of the dangerous 4 risks and side effects of Essure®. 5

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138. Plaintiff did not have the same knowledge as Defendants and no adequate warning was communicated to her physician(s).

139. Defendants had a continuing duty to warn consumers, including Plaintiff and her 8 physicians, and the medical community of the dangers associated with its use, Defendants breached 9 their duty. Under Ninth Circuit federal law, Plaintiff's claims for breach of failure to warn after FDA 10 approval are not preempted by the Medical Device Act ("MDA"). Stengel v. Medtronic Incorporated, 11 704 F.3d 1224 (9th Cir. 2013).

12 140. Although Defendants knew, or were reckless in not knowing, of the defective nature 13 of Essure®, they continued to manufacture, design, formulate, test, package, label, produce, create, 14 made, construct, assemble, market, advertise, distribute and sell Essure® without providing adequate 15 warnings and instructions concerning the use of Essure® so as to maximize sales and profits at the 16 expense of the public health and safety, in knowing, conscious, and deliberate disregard of the 17 foreseeable harm caused by Essure®.

18 As a direct and proximate result of one or more of these wrongful acts or omissions of 141. Defendants, Plaintiff suffered profound injuries as alleged herein, required medical treatment, and 19 incurred and continues to incur medical and hospital expenses. 20

WHEREFORE, Plaintiff demands judgment against Defendants for compensatory, statutory 21 and punitive damages, together with interest, costs of suit, attorneys' fees and all such other relief as 22 the Court deems appropriate pursuant to the common law and statutory law. 23

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**FIFTH CAUSE OF ACTION** 

STRICT LIABILITY

142. Plaintiff incorporates by reference all other paragraphs of this complaint as if fully set 26 forth herein and further alleges as follows: 27

1 143. Defendants are manufacturers and/or suppliers of Essure® and are strictly liable to
 2 Plaintiff for manufacturing, designing, formulating, testing, packaging, labeling, producing, creating,
 3 making, constructing, assembling, marketing, advertising, distributing, selling and placing Essure®
 4 into the stream of commerce.

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144. Essure®, manufactured and/or supplied by Defendants, was defective in design or formulation in that when it left the hands of the manufacturer and/or suppliers, it was unreasonably dangerous. It was more dangerous than an ordinary consumer would expect and more dangerous than other contraceptives.

9
 145. Essure® was defective in design or formulation in that, when it left the hands of the
 manufacturer and/or suppliers, the foreseeable risks exceeded the benefits associated with the design
 or formulation.

11

146. Essure® was also defective due to inadequate warnings or instructions because the 12 manufacturer knew or should have known that Essure® created, among other things, a risk of 13 perforation and migration and associated infections or conditions and the Defendants failed to 14 adequately warn of these risks.

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147. Essure® was defective due to inadequate pre-marketing testing.

16 148. Defendants failed to provide adequate initial warnings and post-marketing warnings or
17 instructions after the manufacturer and/or supplier knew or should have known of the extreme risks
18 associated with Essure® and continues to promote Essure® in the absence of those adequate
19 warnings.

20 149. As a direct and proximate result of one or more of these wrongful acts or omissions of
 21 Defendants, Plaintiff suffered profound injuries, required medical treatment, and incurred and
 22 continues to incur medical and hospital expenses.

WHEREFORE, Plaintiff demands judgment against Defendants for compensatory, statutory
 and punitive damages, together with interest, costs of suit, attorneys' fees and all such other relief as
 the Court deems appropriate pursuant to the common law and statutory law.

## SIXTH CAUSE OF ACTION

## **BREACH OF IMPLIED WARRANTY**

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1 150. Plaintiff incorporates by reference all other paragraphs of this complaint as if fully set
 2 forth herein and further alleges as follows:

151. Defendants manufactured, designed, formulated, tested, packaged, labeled, produced,
created, made, constructed, assembled, marketed, advertised, distributed and sold Essure® as safe for
use by the public at large, including Plaintiff, who purchased Essure®. Defendants knew the use for
which their product was intended and impliedly warranted the product to be of merchantable quality,
safe and fit for use.

152. Plaintiff reasonably relied on the skill and judgment of Defendants, and as such their
implied warranty, in using Essure<sup>®</sup>.

153. Contrary to same, Essure® was not of merchantable quality or safe or fit for its
 intended use, because it is unreasonably dangerous and unfit for the ordinary purpose for which it
 was used.

12 154. As a direct and proximate result of one or more of these wrongful acts or omissions of
 13 Defendants, Plaintiff suffered profound injuries, required medical treatment, and incurred and
 14 continues to incur medical and hospital expenses.

WHEREFORE, Plaintiff demands judgment against Defendants for compensatory, statutory
 and punitive damages, together with interest, costs of suit, attorneys' fees and all such other relief as
 the Court deems appropriate pursuant to the common law and statutory law.

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#### **SEVENTH CAUSE OF ACTION**

**BREACH OF EXPRESS WARRANTY** 

20 155. Plaintiff incorporates by reference all other paragraphs of this complaint as if fully set
 21 forth herein and further alleges as follows:

156. The aforementioned designing, manufacturing, marketing, formulating, testing,
packaging, labeling, producing, creating, making, constructing, assembling, advertising, and
distributing of Essure® were expressly warranted to be safe by Defendants for Plaintiff and members
of the public generally. At the time of the making of these express warranties, Defendants warranted
Essure® to be in all respects safe, effective and proper for such purposes.

Essure® does not conform to these express warranties and representations because
 Essure® is not safe or effective and may produce serious side effects.

1	158. As a direct and proximate result of one or more of these wrongful acts or omissions of	
2	Defendants, Plaintiff suffered profound injuries, required medical treatment and incurred and	
3	continues to incur medical and hospital expenses.	
4	WHEREFORE, Plaintiff demands judgment against Defendants for compensatory, statutory	
5	and punitive damages, together with interest, costs of suit, attorneys' fees and all such other relief as	
6	the Court deems appropriate pursuant to the common law and statutory law.	
7	EIGHT CAUSE OF ACTION	
8	NEGLIGENT MISREPRESENTATION	
9	159. Plaintiff incorporates by reference all other paragraphs of this complaint as if fully set	
10	forth herein and further alleges as follows:	
	160. Defendants, having undertaken the designing, manufacturing, marketing, formulating,	
11	testing, packaging, labeling, producing, creating, making, constructing, assembling, advertising, and	
12	distributing of Essure®, owed a duty to provide accurate and complete information regarding	
13	Essure <sup>®</sup> .	
14	161. Defendants falsely represented to Plaintiff that Essure® was an effective contraceptive	
15	option. The representations by Defendants were in fact false, as Essure® is not safe and is dangerous	
16	to the health of its users.	
17	162. At the time the aforesaid representations were made, Defendants concealed	
18	information about the propensity of Essure® to cause great harm from Plaintiff and her health care	
19	providers.	
20	163. Defendants negligently misrepresented claims regarding the safety and efficacy of	
21	Essure® despite the lack of information regarding same.	
22	164. These misrepresentations were made by Defendants with the intent to induce Plaintiff	
23	to use Essure®, which caused her injury.	
24	165. At the time of Defendants' misrepresentations and omissions, Plaintiff was ignorant of	
25	the falsity of these statements and reasonably believed them to be true.	
26	166. Defendants breached their duties to Plaintiff by providing false, incomplete and/or	
27	misleading information regarding their product.	
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2.4.1	28	

#### COMPLAINT FOR DAMAGES AND DEMAND FOR JURY TRIAL

1	167. Plaintiff reasonably believed Defendants' representations and reasonably relied on the
2	accuracy of those representations when agreeing to treatment with Essure®.
3	168. As a direct and proximate result of one or more of these wrongful acts or omissions of
4	Defendants, Plaintiff suffered profound injuries, required medical treatment, and incurred and
5	continues to incur medical and hospital expenses.
6	WHEREFORE, Plaintiff demands judgment against Defendants for compensatory, statutory
7	and punitive damages, together with interest, costs of suit, attorneys' fees and all such other relief as
8	the Court deems appropriate pursuant to the common law and statutory law.
9	NINTH CAUSE OF ACTION
	FRAUDULENT MISREPRESENTATION
10	169. Plaintiff incorporates by reference all other paragraphs of this complaint as if fully set
11	forth herein and further alleges as follows:
12	170. Defendants, having undertaken the designing, manufacturing, marketing, formulating,
13	testing, packaging, labeling, producing, creating, making, constructing, assembling, advertising, and
14	distributing of Essure® described herein, owed a duty to provide accurate and complete information
15	regarding Essure®.
16	171. Defendants fraudulently misrepresented material facts and information regarding
17	Essure® including, but not limited to, its propensity to cause serious physical harm.
18	172. At the time of Defendants' fraudulent misrepresentations and omissions, Plaintiff was
19	unaware and ignorant of the falsity of the statements and reasonably believed them to be true.
20	173. Defendants knew this information to be false, incomplete and misleading.
21	174. Defendants intended to deceive and mislead Plaintiff so that she might rely on these
22	fraudulent misrepresentations.
23	175. Plaintiff had a right to rely on and did reasonably rely upon Defendants' deceptive,
24	inaccurate and fraudulent misrepresentations.
25	176. As a direct and proximate result of one or more of these wrongful acts or omissions of
26	Defendants, Plaintiff suffered profound injuries, required medical treatment, and incurred and
20	continues to incur medical and hospital expenses.
27	
20	29

1	WHEREFORE, Plaintiff demands judgment against Defendants for compensatory, statutory	
2	and punitive damages, together with interest, costs of suit, attorneys' fees and all such other relief as	
3	the Court deems appropriate pursuant to the common law and statutory law.	
4	TENTH CAUSE OF ACTION	
5	FRAUD BY CONCEALMENT	
6	177. Plaintiff incorporates by reference all other paragraphs of this complaint as if fully set	
7	forth herein and further alleges as follows:	
8	178. Defendants had a duty and obligation to disclose to Plaintiff that Essure® was	
9	dangerous and likely to cause serious health consequences to users when used as prescribed.	
10	179. Defendants intentionally, willfully, and maliciously concealed and/or suppressed the	
	facts set forth above from Plaintiff with the intent to defraud her as herein alleged.	
11	180. Neither Plaintiff nor her physicians were aware of the facts set forth above, and had	
12	they been aware of said facts would not have prescribed this product.	
13	181. As a proximate result of the concealment and/or suppression of the facts set forth	
14	above, Plaintiff has proximately sustained damage, as set forth herein.	
15	182. As a direct and proximate result of one or more of these wrongful acts or omissions of	
16	Defendants, Plaintiff suffered profound injuries, required medical treatment, and incurred and	
17	continues to incur medical and hospital expenses.	
18	WHEREFORE, Plaintiff demands judgment against Defendants for compensatory, statutory	
19	and punitive damages, together with interest, costs of suit, attorneys' fees and all such other relief as	
20	the Court deems appropriate pursuant to the common law and statutory law.	
21	REQUEST FOR PUNITIVE DAMAGES	
22	183. Plaintiff incorporates by reference all other paragraphs of this complaint as if fully set	
23	forth herein and further alleges as follows:	
24	184. At all times relevant herein, Defendants:	
25	a. knew that Essure® was dangerous and ineffective;	
26	b. concealed the dangers and health risks from Plaintiff, physicians, pharmacists,	
27	other medical providers, the FDA, and the public at large;	
28		
-	30 COMPLAINT FOR DAMAGES AND DEMAND FOR JURY TRIAL	
	COWIT LAINT FOR DAWAGES AND DEWAND FOR JUNT TRIAL	

1 made misrepresentations to Plaintiff, her physicians, hospitals and medical c. providers and the public in general as previously stated herein as to the safety and efficacy of 2 Essure®: and. 3

d. with full knowledge of the health risks associated with Essure® and without 4 adequate warnings of the same, manufactured, designed, formulated, tested, packaged, labeled, 5 produced, created, made, constructed, assembled, marketed, advertised, distributed and sold Essure® 6 for routine use. 7

- 185. Defendants, by and through officers, directors, managing agents, authorized sales 8 representatives, employees and/or other agents who engaged in malicious, fraudulent and oppressive 9 conduct towards Plaintiff and the public, acted with willful and wanton and/or conscious and reckless 10 disregard for the safety of Plaintiff and the general public.
- 11

As a direct and proximate result of one or more of these wrongful acts or omissions of 186. 12 Defendants, Plaintiff suffered profound injuries that required medical treatment and incurred medical 13 and hospital expenses, for which Plaintiff has become liable.

14 187. Defendants are liable jointly and/or severally for all general, special and compensatory 15 damages and equitable relief to which Plaintiff is entitled by law. Plaintiff seeks actual and punitive 16 damages from Defendants and alleges that the conduct of Defendants was committed with knowing, 17 conscious, reckless, deliberate and grossly negligent disregard for the rights and safety of consumers, 18 including Plaintiff herein, thereby entitling Plaintiff to punitive damages in an amount appropriate to punish Defendants and deter them from similar conduct in the future. 19

WHEREFORE, Plaintiff demands judgment against Defendants for compensatory, statutory 20 and punitive damages, together with interest, costs of suit, attorney's fees and all such other relief as 21 the Court deems appropriate pursuant to the common law and statutory law. 22

23

### **RELIEF REQUESTED**

WHEREFORE Plaintiff prays for judgment against Defendants and, as appropriate to each 24 cause of action alleged and as appropriate to the standing of Plaintiff, as follows: 25

1. Past and future general damages, the exact amount of which has yet to be ascertained, 26 in an amount according to proof at the time of trial; 27

28

2.

Past and future economic and special damages according to proof at trial;

1	3.	Loss of earnings and impaired earning capacity according to proof at trial;	
2	4.	Medical expenses, past and future, according to proof at the time of trial;	
3	5.	Past and future pain and suffering damages, including mental and, emotional stress	
4	arising from Plaintiff's physical injuries, according to proof at the time of trial;		
5	6.	Equitable relief as requested and/or as the Court deems just and proper;	
6	7.	Declaratory judgment that Defendants are liable to Plaintiff for all future evaluative,	
7	monitoring, diagnostic, preventative, and corrective medical, surgical, and incidental expenses, costs		
8	and losses car	used by Defendants' wrongdoing;	
9	8.	Medical monitoring, whether denominated as damages or in the form of equitable	
10	relief accordi	ng to proof at the time of trial;	
11	9.	Punitive or exemplary damages according to proof at the time of trial;	
11	10.	Costs of suit incurred herein;	
	11.	Pre-judgment interest as provided by law; and	
13	12.	Such other and further relief as the Court may deem just and proper.	
14		DEMAND FOR JURY TRIAL	
15			
16	Plaint	iff hereby demands a trial by Jury.	
17	Dated: Nover	nber 5, 2015	
18	Dated. Novel	nder 5, 2015	
19		s/Martin Schmidt	
20		By: Martin Schmidt	
21		Attorney for Plaintiff	
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		COMPLAINT FOR DAMAGES AND DEMAND FOR JURY TRIAL	